



ESMA

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Subcategorías

Collective Investment

- [ESMA Final Report on the integrated collection of funds' data](#)
- [Call for evidence on the review of the Commission Directive on UCITS Eligible Assets](#)
- [ESMA consults on its proposal for Guidelines on performance fees in UCITS. October 2019.](#)
- [Assets segregation in the delegation of custody services as a measure to ensure investment funds clients' protection. October 2016.](#)
- [Opinion on the review of the CESR guidelines on a common definition of European Money Market Funds. November 2014.](#)
- [Guidelines on repurchase and reverse repurchase agreements for Undertaking for Collective Investment in Transferable Securities Funds \(UCITS\). March 2013.](#)
- [Guidelines on Exchange Traded Funds and other UCITS issues. July 2012.](#)
- [Level 2 measures of the Alternative Investment Fund Managers Directive. November 2011.](#)
- [Common definition of european money market funds. July 2010.](#)

Digital Finance

- [ESMA Final Report on the integrated collection of funds' data](#)
- [ESMA article on quantum computing in financial markets: applications, investments and prospects](#)
- [ESMA Guidelines on the Criteria for Assessing Knowledge and Competence under the Regulation on markets in crypto-assets](#)
- [ESMA Guidelines on Market Abuse under the MiCA Regulation](#)
- [ESMA's Supervisory Briefing on the authorisation of crypto-asset service providers](#)
- [ESMA final report on the draft technical standards under the Regulation 2020/1503 on European crowdfunding service providers for business. International Bulletin, June 2022.](#)
- [Guidelines on outsourcing to cloud service providers. International Bulletin, March 2021.](#)
- [Report of the Joint Committee of the European Supervisory Authorities on regulatory sandboxes and innovation hubs. June 2019.](#)
- [ESMA's advice addressed to European Union Institutions on Initial Coin Offering and Crypto-Assets. February 2019.](#)
- [An overview of crowdfunding in the European Union. July 2015.](#)
- [Guidelines on systems and controls in an automated trading environment for trading platforms, investment firms and competent authorities. March 2012.](#)

Investor Protection

- [ESMA Final Report on the application and effectiveness of the provisions in the second directive regarding proxy advisors and the investment chain](#)
- [ESMA Final Report on the Guidelines on certain aspects of the MiFID II suitability requirements. International Bulletin of November 2022.](#)
- [ESMA's Technical Advice to the Commission on the application of administrative and criminal sanctions under MiFID II/MiFIR. International Bulletin of June 2021.](#)
- [ESMA's Technical Advice to the Commission on the impact of the inducements and costs and charges disclosure requirements under MiFID II. International Bulletin of June 2020.](#)
- [ESMA agrees on product intervention measures in relation to CFDs and binary options offered to retail investors. June 2018.](#)
- [ESMA, EBA and EIOPA Joint Committee final report on Guidelines for complaints-handling for the securities and banking sectors. July 2014.](#)
- [ESMA opinion on good practices on governance arrangements for structured retail products. July 2014.](#)
- [ESMA opinion on MiFID practices on firms selling complex products. March 2014.](#)
- [Retailisation or sale of complex products to retail investors. November 2013.](#)
- [Guidelines on remuneration policies and practices in MiFID. July 2013.](#)
- [Technical standards and guidelines on certain aspects of the MiFID suitability requirements. July 2012.](#)
- [Technical standards and guidelines on certain aspects of the requirements of the MiFID's compliance function. July 2012.](#)
- [ESMA's Final Report on the European Commission mandate on certain aspects relating to retail investor protection. International Bulletin, June 2022.](#)

Markets

- [ESMA Consultation Paper on Active Account Requirement under EMIR 3](#)
- [ESMA Report on the Call for Evidence on pre-hedging](#)
- [Review of MAR Guidelines on the delay in the disclosure of inside information and interactions with prudential supervision. International Bulletin of November 2021.](#)
- [Guidelines on the MiFID II/MiFIR obligations on market data. International Bulletin of June 2021.](#)
- [ESMA Consultation Paper MiFID II/MiFIR Review Report on algorithmic trading. International Bulletin, March 2021.](#)
- [ESMA Final Report to the European Commission on the pre- and post-trade transparency regime for non-equity instruments International Bulletin, November 2020.](#)
- [MiFID II / MiFIR review report on the transparency regime for equity and equity-like instruments, the double volume cap mechanism and the trading obligations for shares. International Bulletin of June 2020.](#)
- [Report on the development in prices for pre- and post-trade data and consolidated tape providers. International Bulletin of February 2020.](#)
- [ESMA Guidelines on risk factors under Prospectus Regulation. June 2019.](#)
- [ESMA's proposal on the regulatory standards required by the new Prospectus Regulation. October 2018](#)
- [ESMA's Technical Advice to the European Commission on the Short Selling Regulation. February 2018.](#)
- [Guidelines on the Market Abuse Regulation-market soundings on delay of disclosure of inside information. October 2016.](#)
- [First EU-wide central counterparty stress test exercise. May 2016.](#)
- [Regulation on transparency of securities financing transactions and reuse. May 2016.](#)
- [Final report with draft Regulatory and Implementing Technical Standards on MiFID II/MiFIR. November 2015.](#)

- [Final report with draft regulatory and implementing technical standards on the Market Abuse Regulation. November 2015.](#)
- [Guidelines on Alternative Performance Measures. July 2015.](#)
- [Technical advice on possible delegated acts for the development of level 2 dispositions of the Market Abuse Regulation. March 2015.](#)
- [Draft Regulatory Technical Standards on major shareholdings and an indicative list of financial instruments subject to notification requirements under the revised Transparency Directive. November 2014.](#)
- [ESMA-EBA review of the implementation of the recommendations to Euribor-EBF. March 2014.](#)
- [2013 annual report on Credit Rating Agencies. March 2014.](#)
- [Guidelines and recommendations regarding written agreements between members of Central Counterparties \(CCPs\) colleges of supervision. July 2013.](#)
- [Guidelines on the exemption for market making activities and primary market operations under Regulation on Short Selling. March 2013.](#)
- [Final report with the feedback statement on the consultation regarding the role of the proxy advisory industry. March 2013.](#)
- [Draft Regulatory and Implementing Technical Standards under EMIR Regulation. November 2012.](#)
- [Locate rules: requirements on short sales in shares and in sovereign debt instruments in articles 12 and 13 of the Regulation. March 2012.](#)
- [Transparency rules: information reporting on net short position in shares and in sovereign debt and public disclosure on net short position in shares in articles 5 to 8 of the Regulation. March 2012.](#)
- [CESR Document on Short Selling. March 2010.](#)

Securitization

- [The new Securitisation Regulation and its implementing measures. February 2019.](#)

Strategic Lines

- [ESMA Annual Work Programme for 2023 International Bulletin, November 2022.](#)
- [European Securities and Markets Authority: 2020 Annual Work Programme. October 2019.](#)
- [Preparatory measures by ESMA in the context of the United Kingdom withdrawing from the European Union \(II\). June 2019.](#)
- [European Securities and Markets Authority: 2019 Annual Work Programme. February 2019.](#)
- [Preparatory measures by ESMA in the context of the United Kingdom withdrawing from the European Union. February 2019.](#)
- [Opinions issued by the European Securities and Markets Authority to support supervisory convergence in the context of the United Kingdoms withdrawal from the European Union. October 2017.](#)
- [ESMA 2017 Work Programme. February 2017.](#)
- [ESMA 2016 Regulatory Work Programme. May 2016.](#)
- [ESMA 2016 Supervisory Convergence Work Programme. May 2016.](#)
- [ESMA 2016 work programme. November 2015.](#)
- [Peer Reviews, one of ESMA's fundamental tool to achieve supervisory convergence. July 2015.](#)
- [ESMA 2015 work programme. November 2014.](#)
- [Questions and Answers \(Q&As\) on the implementation of Regulations, Directives, Guidelines and issues of interest. November 2014.](#)
- [ESMA work programme for 2014. November 2013.](#)
- [2013 ESMA work programme. November 2012.](#)

- [2012 ESMA work programme. March 2012.](#)

Sustainable Finance

- [Consultation paper on the review of the SFDR Delegated Regulation](#)
- [Public consultation on the draft Regulatory Technical Standards for Taxonomy-related sustainability disclosures. International Bulletin of June 2021.](#)
- [Final Report on draft Regulatory Technical Standards \(RTS\) developing Regulation \(EU\) 2019/2088 on sustainability-related disclosures in the financial services sector. International Bulletin, March 2021.](#)
- [ESMA publishes a Report on undue short-term pressure on corporations. International Bulletin of February 2020.](#)
- [ESMA's technical advice to the European Commission on integrating sustainability risks and factors in MiFID II, the UCITS Directive and AIFMD. June 2019.](#)